

**Notice of References Cited**

Application/Control No.

10/787,529

Applicant(s)/Patent Under  
Reexamination  
SHIELDS ET AL.

Examiner

ERIC T. WONG

Art Unit

3693

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

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	V	Fraser, Dick and Parry, Helen, "Exchanges and Alternative Trading Systems", Sweet & Maxwell Limited, 2002, p.99.
	W	"Cross-Margins for Equity Index and Interest Rate Futures and Options", July 1999.
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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